

**Symbio International Workshop 2012  
on Advanced Condition Monitors for Nuclear Power and Other Process Systems**

---

---

**Non-contact Acoustic Emission Measurements  
for Condition Monitoring of Bearings  
in Rotating Machines using Laser Interferometry**

---

---

**Yasufumi OHTA**

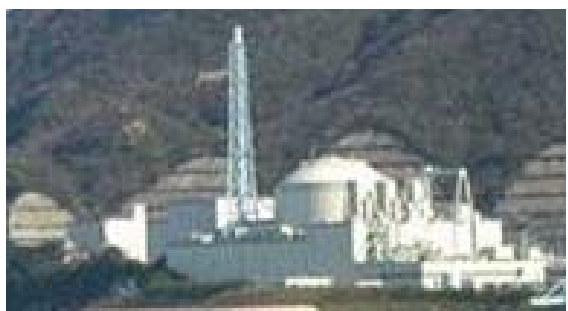
---

**Operation and Maintenance Technology Development Group,  
FBR Plant Engineering Research Center,  
Japan Atomic Energy Agency (JAEA)**

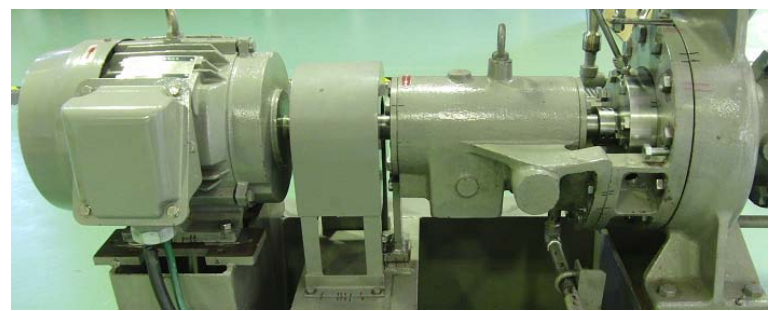
---

# Backgrounds

## Condition Based Maintenance (CBM)



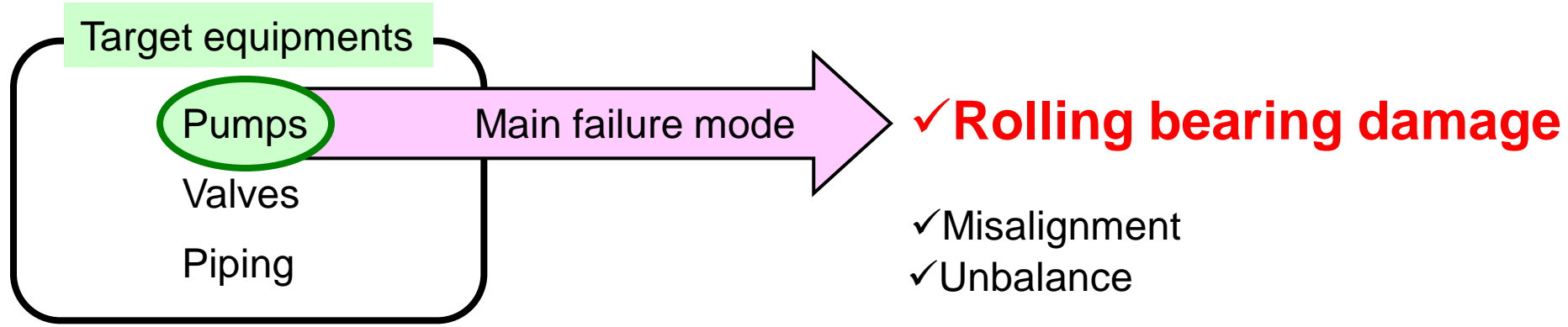
Nuclear power plants



An example of pump

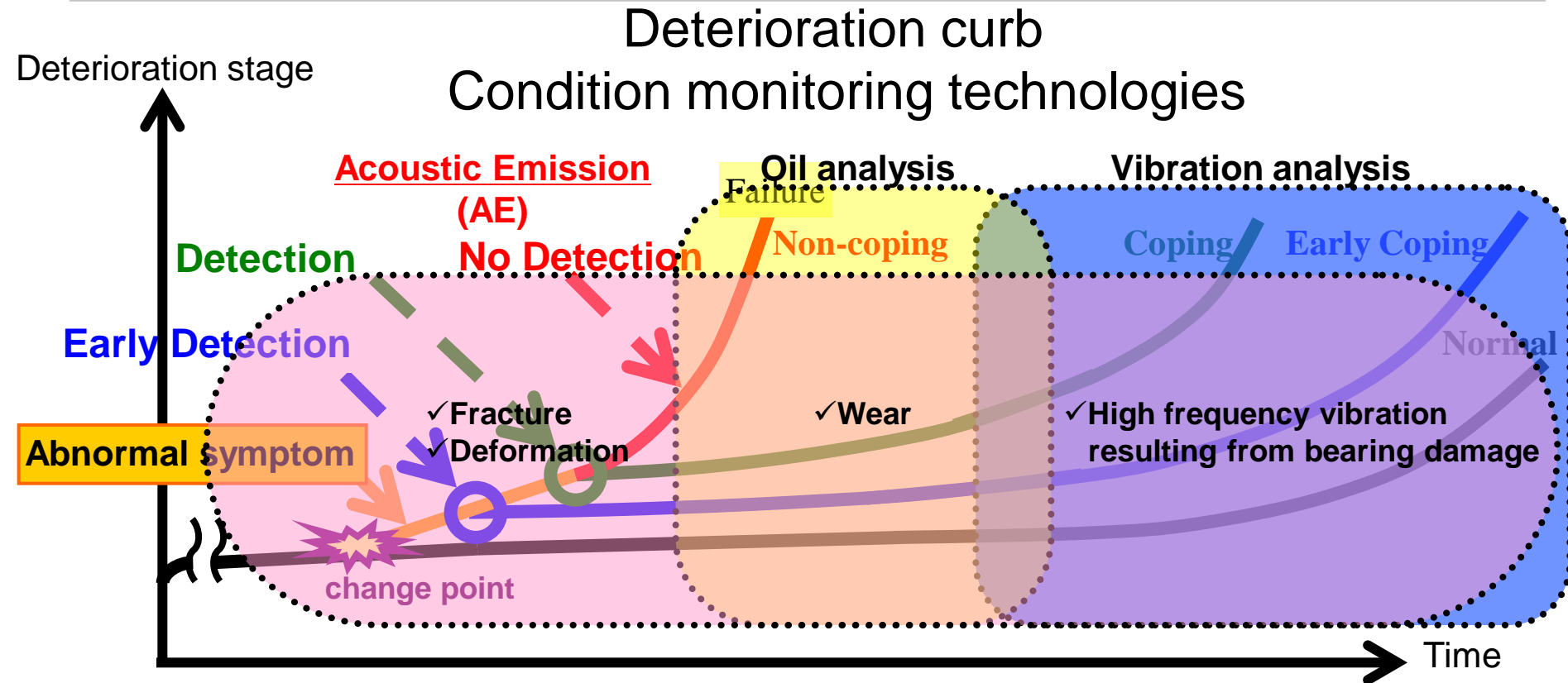


**Rolling bearing**



**Rolling bearings are one of the most important targets of condition monitoring in nuclear power plants.**

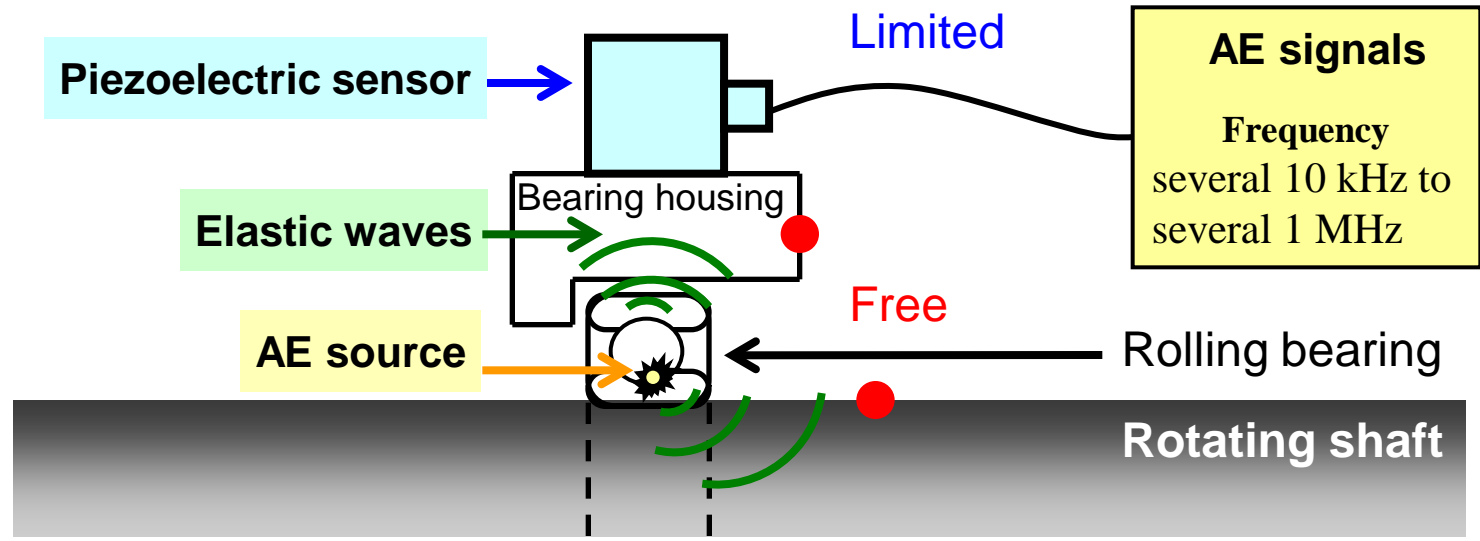
# “When and How” for an earlier detection of abnormal symptoms



- ✓ In order to maximize bearing life, it is important to detect abnormal symptoms as early as possible.
- ✓ AE is the most useful method for understanding abnormal states and deterioration symptoms of bearings.

# AE (acoustic emission) method and sensor types

## AE method



## AE sensor types

	Measurement method	Measurement point	Noise in rotating machines
<b>Contact type</b>	Piezoelectric sensor Optical transducer	Limited	Large
<b>Non-contact type</b>	Laser interferometer [1][2][3]	Free	Small

**Previous studies using interferometers have only been applied to the measurement of static objects.**

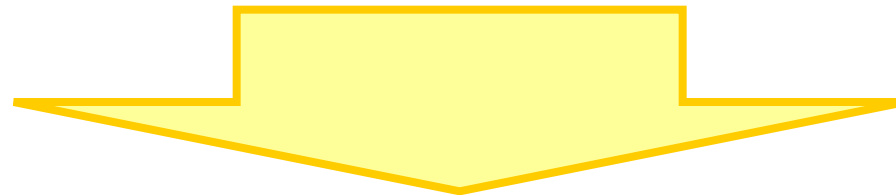
[1] Palmer (1977) , [2] Bruttomesso et al. (1993) , [3] Watanabe et al. (2003)

# The objective of this study

---

## Work plan

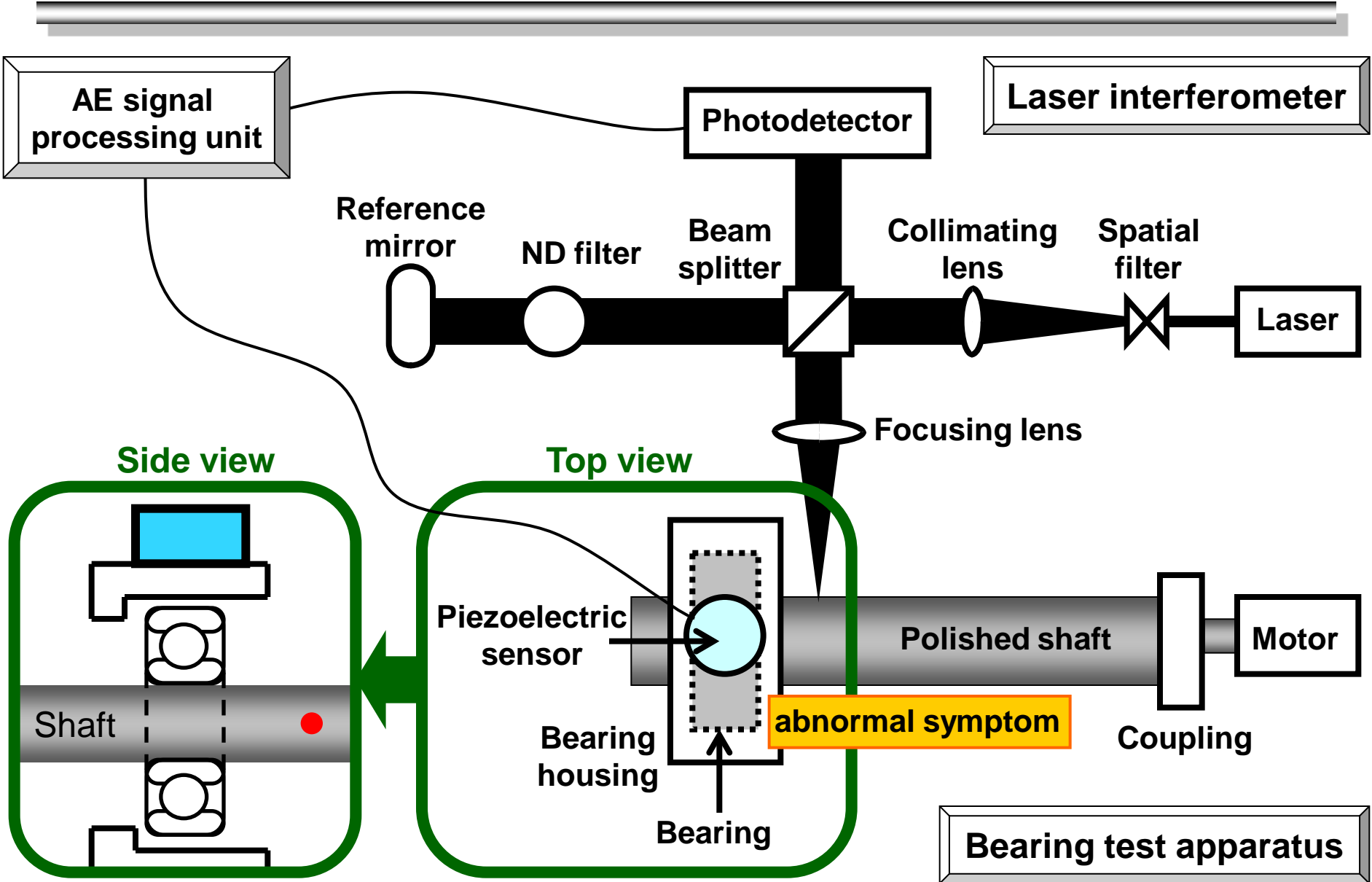
- ✓ **Setup a rotating shaft laboratory test using bearings with artificial-defects**
- ✓ **Setup a laser interferometer which can detect AE propagated on the rotating shaft**
- ✓ **Select the most adequate AE parameters corresponding with changes of bearing defect size**



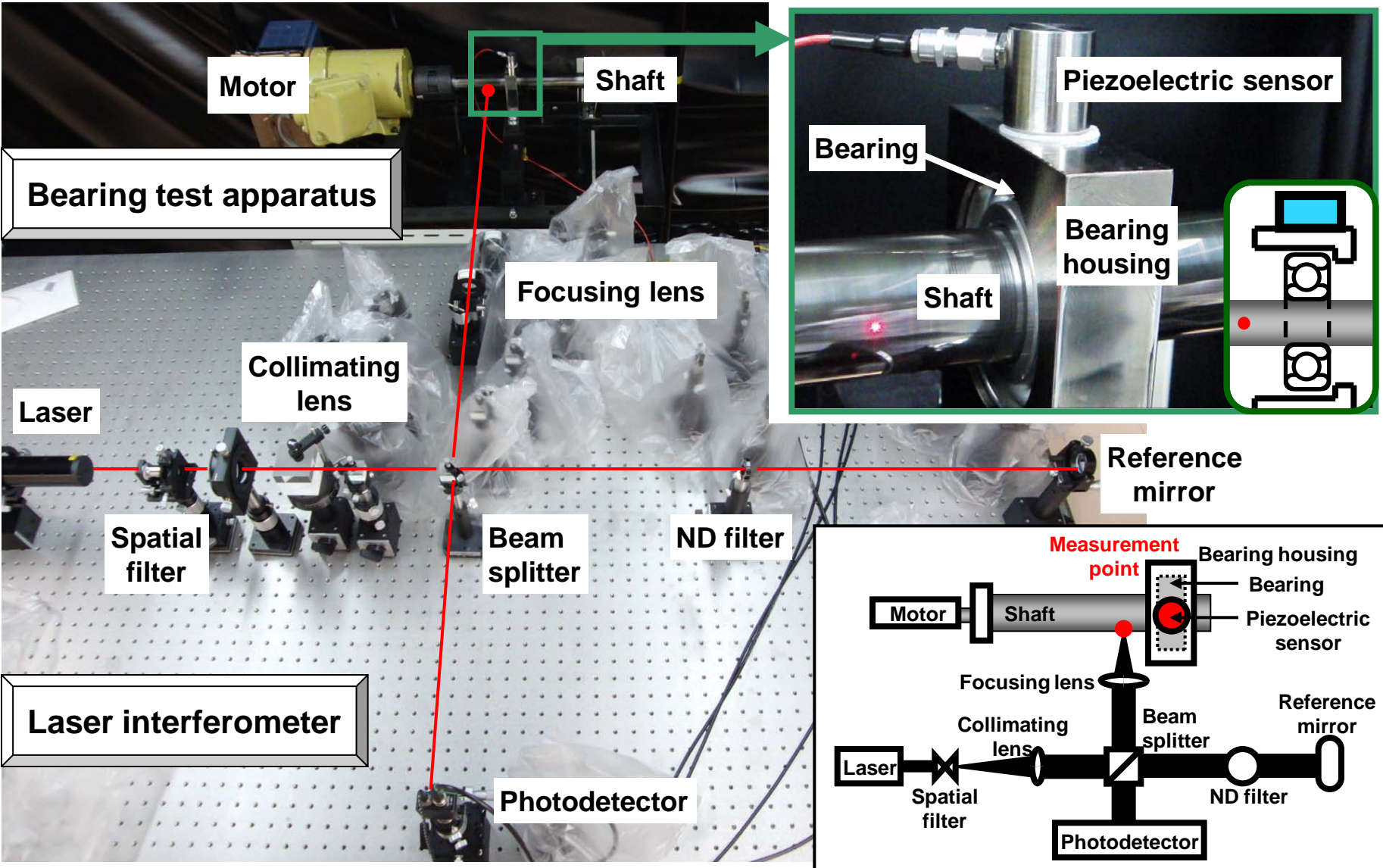
## Long term goal

**To be applied to condition monitoring technology in actual plants**

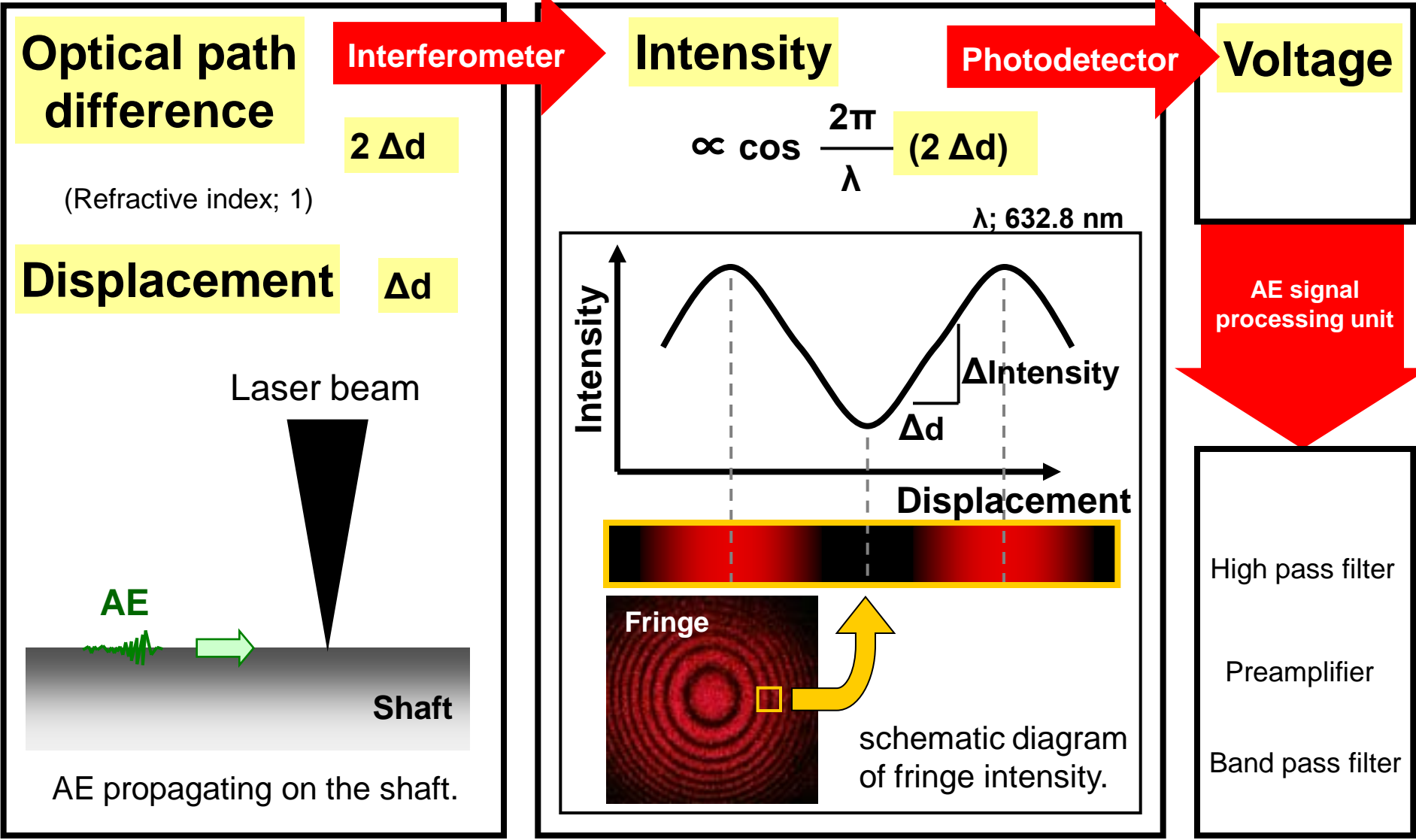
# Configuration of the experimental setup



# Overview photograph of the experimental setup

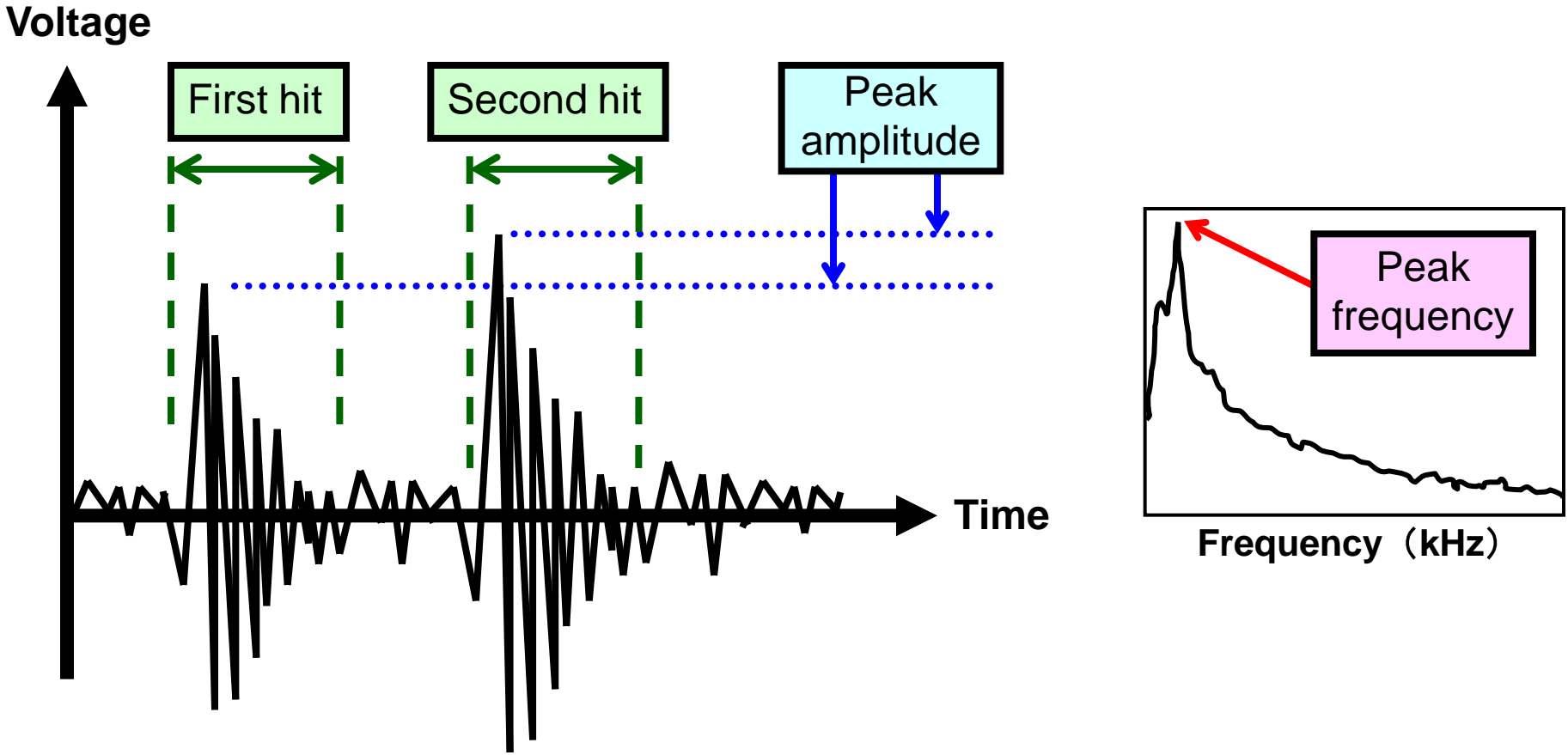


# Conversion of AE into voltage (simplification)





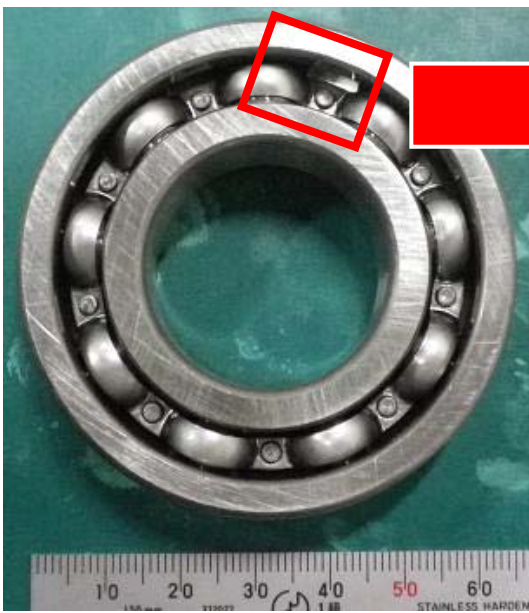
# AE parameters



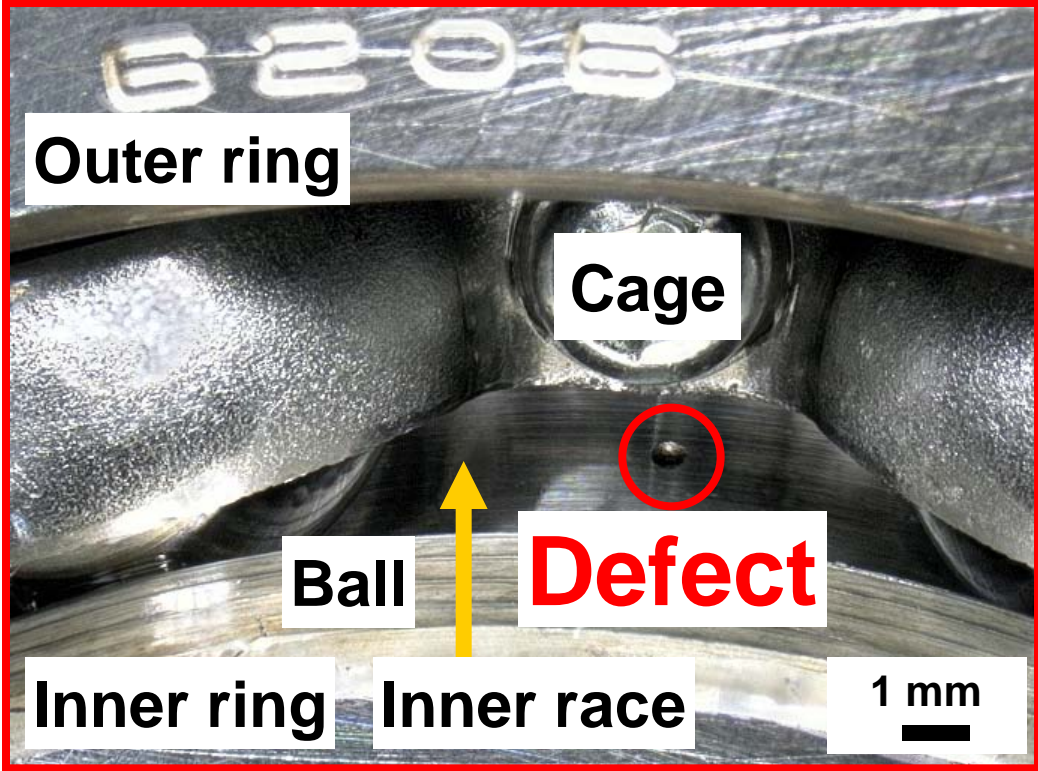
Schematic AE signals (left side), and a power spectrum (right side) obtained from one AE wave packet.

# Bearing specimen

## 6206 deep-groove ball bearing



inner diameter 30 mm  
outer diameter 62 mm  
width 16 mm  
balls diameter 9.53 mm



An example of a  $\phi 0.50$  mm artificial defect, which has been added on the inner race by electric discharge machining.

# Test conditions

---

- **Defect size of bearing specimen** (depth; 0.25 mm)  
defect-free,  
 $\varphi 0.25$  mm,  $\varphi 0.50$  mm,  $\varphi 0.75$  mm,  $\varphi 1.00$  mm
- **Rotational speed**  
837 rpm
- **Total number of rotations**  
100,000 (about 120 minutes)
- **Measurements using two different methods:**
  - ✓ **rotating shaft** using presented **laser interferometer**
  - ✓ **bearing housing** using **piezoelectric sensor**
- **Threshold on each measurement point**
  - ✓ 62 dB<sub>AE</sub> at **rotating shaft**
  - ✓ 70 dB<sub>AE</sub> at **bearing housing**

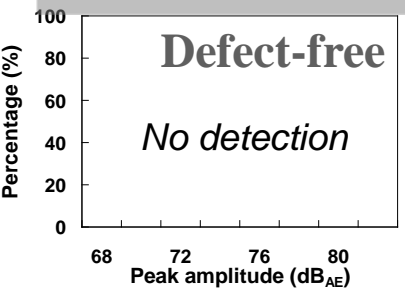
The reference value of dBAE is 1  $\mu$ V

# Number of AE hits

Measurement		Time (min)	Defect size (mm)				
method	point		-free	$\phi$ 0.25	$\phi$ 0.50	$\phi$ 0.75	$\phi$ 1.00
Laser interferometer	Rotating shaft	12	43	609	680	884	1380
		120	1313	5437	6695	8859	13174
Piezoelectric sensor	Bearing housing	12	0	3	3	111	188
		120	0	11	26	815	1771

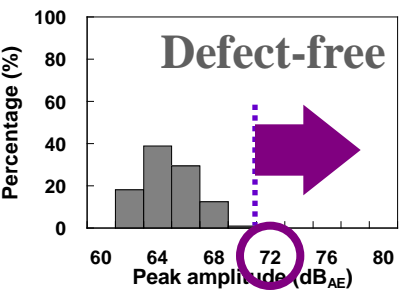
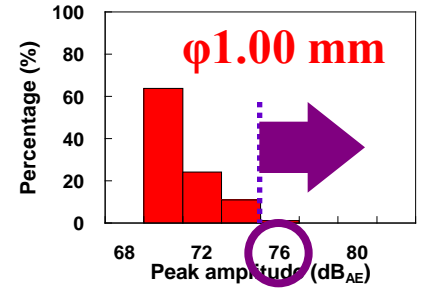
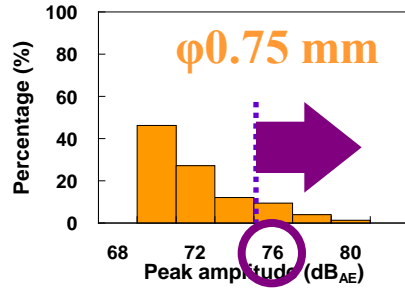
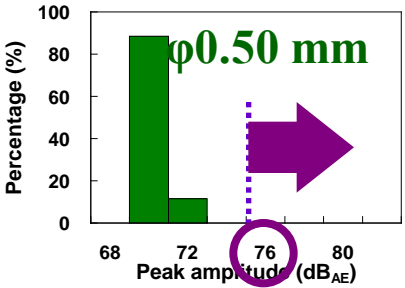
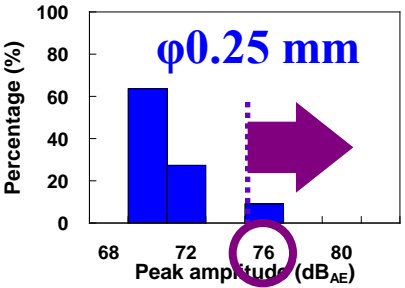
- ✓ The number of AE hits increases with defect size.
- ✓ AE waves are generated stably through the test duration.
- ✓ When measuring on the bearing housing, similar trends are observed. However, the number of AE hits is much lower than for shaft measurements.

# Peak amplitude distribution



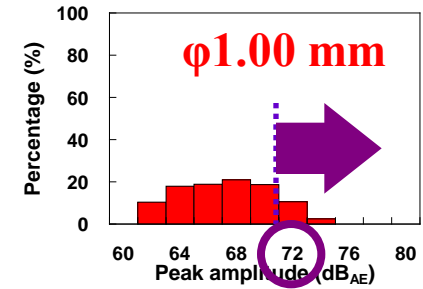
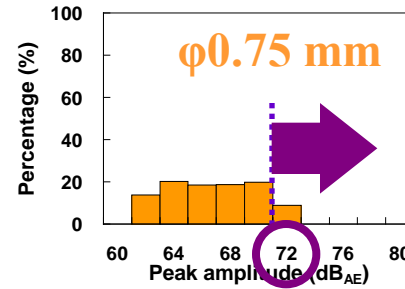
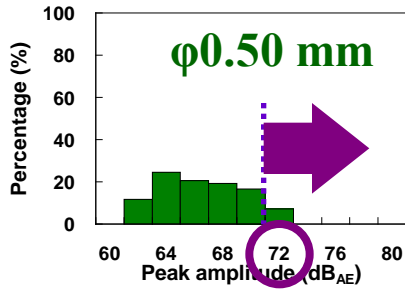
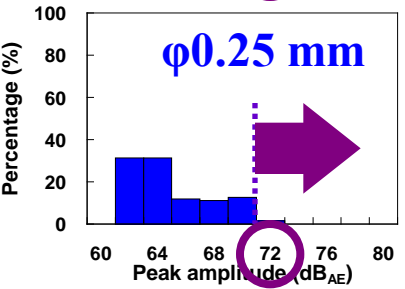
## Piezoelectric sensor, bearing housing

The maximum peak amplitude values change irregularly and are independent of defect size.

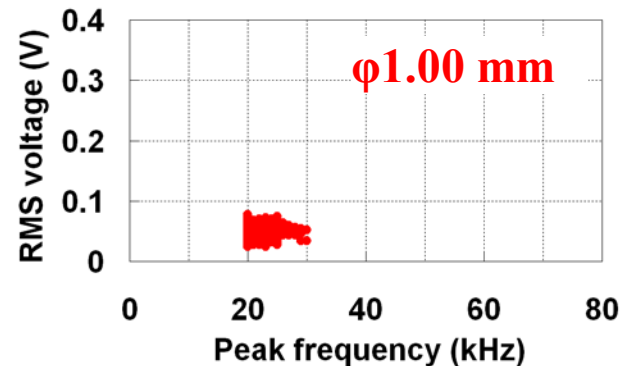
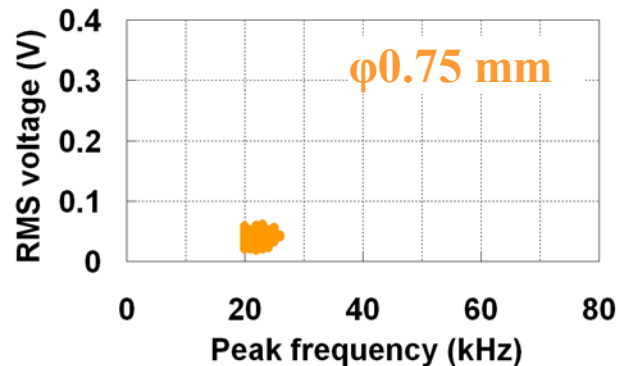
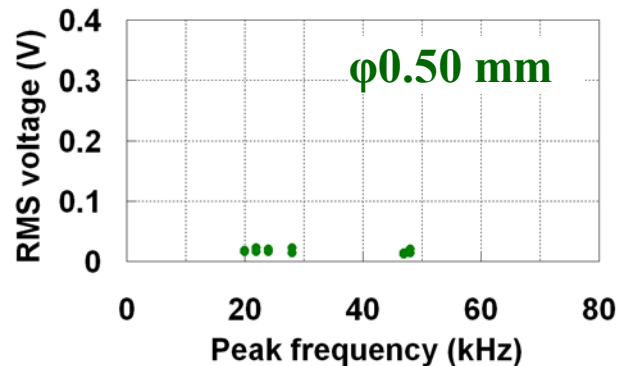
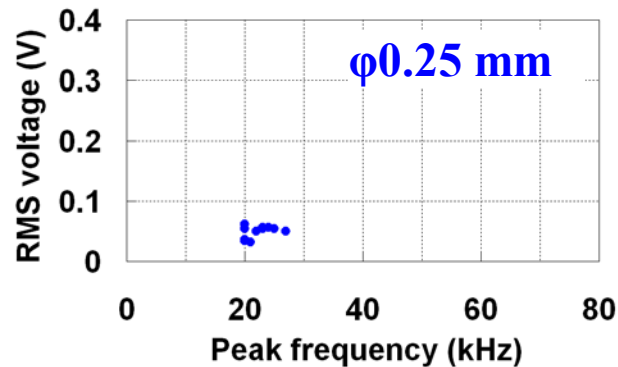
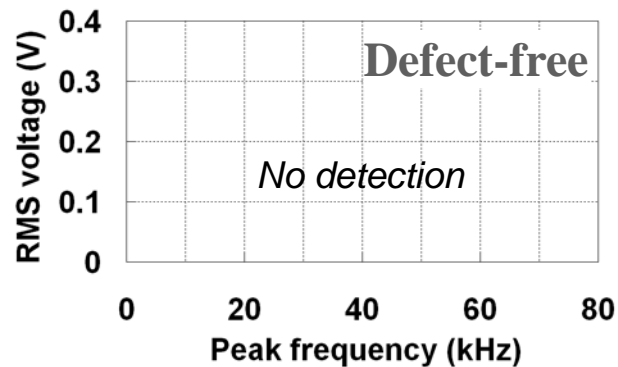


## Laser interferometer, rotating shaft

By increasing defect size, the distribution shifts towards higher amplitudes. However, the relation is rather weak.

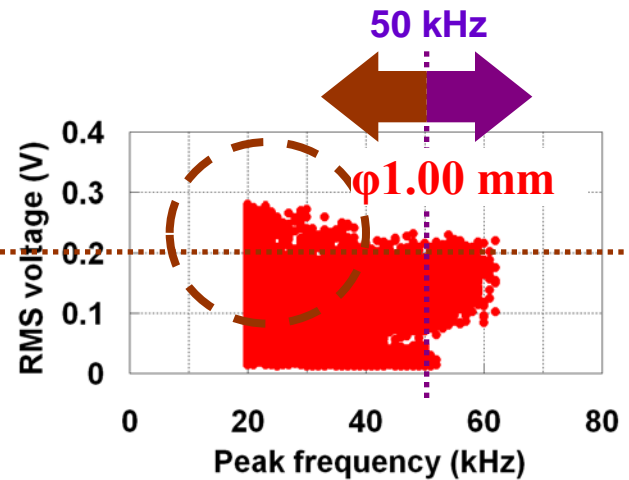
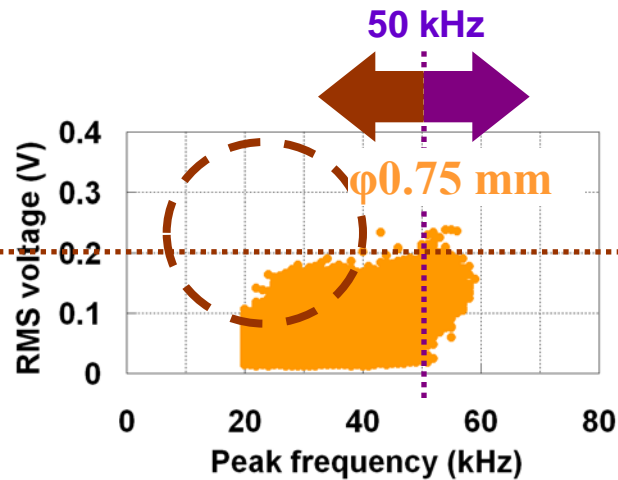
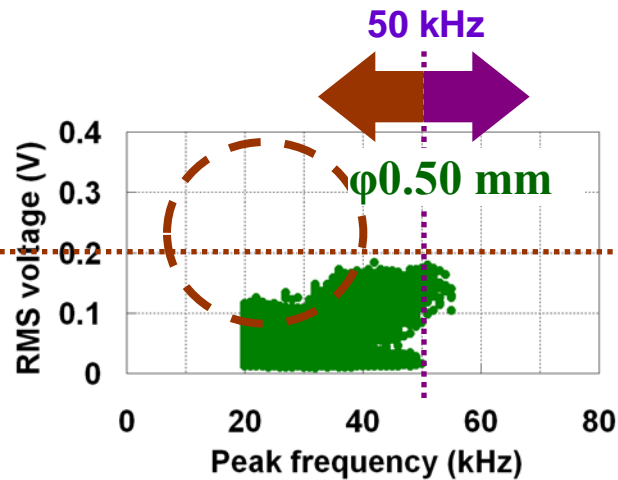
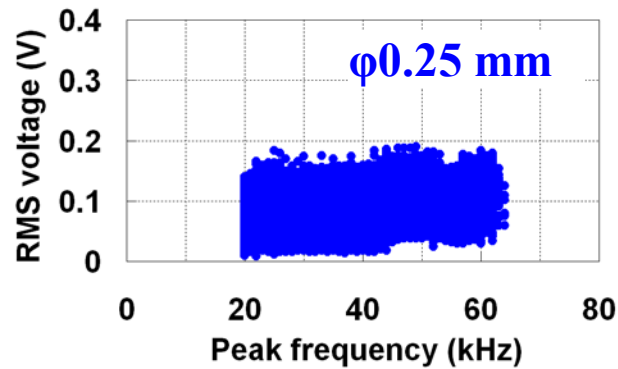
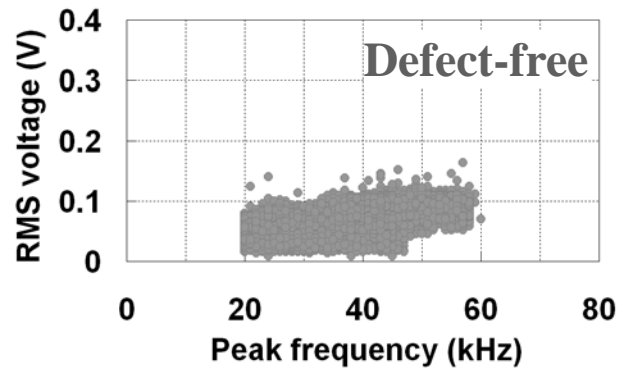


# RMS (Root Mean Square) and Peak frequency



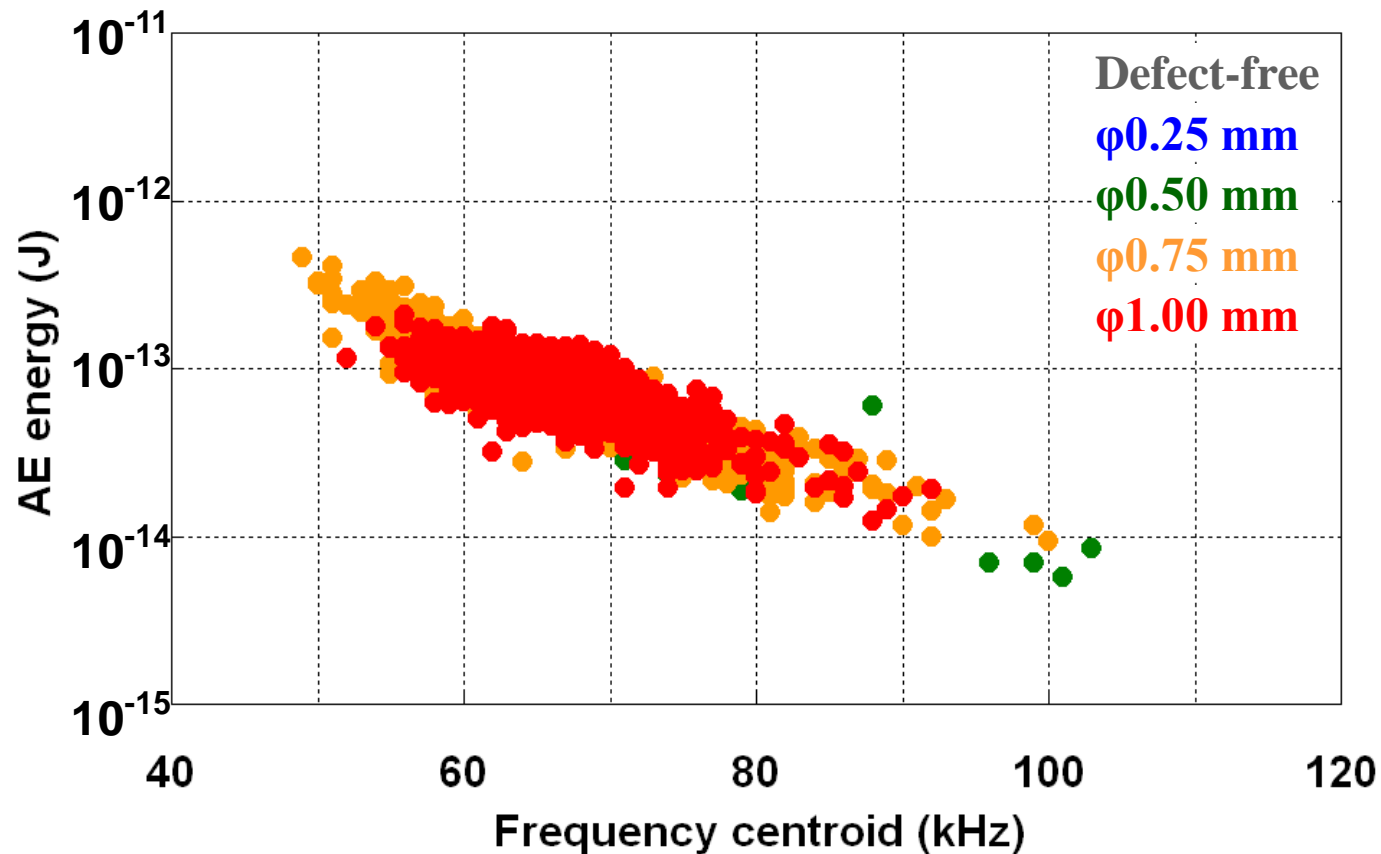
The RMS voltage and the peak frequencies have almost the same distribution and can not be correlated with defect size.

# RMS and Peak frequency



- ✓ For defect sizes between 0.50 mm and 1.00mm, the maximum RMS voltage and peak frequency increases with defect size.
- ✓ The RMS voltage and peak frequency can be used to detect defects larger than 0.50 mm.

# Distribution of absolute AE energy and Frequency centroid

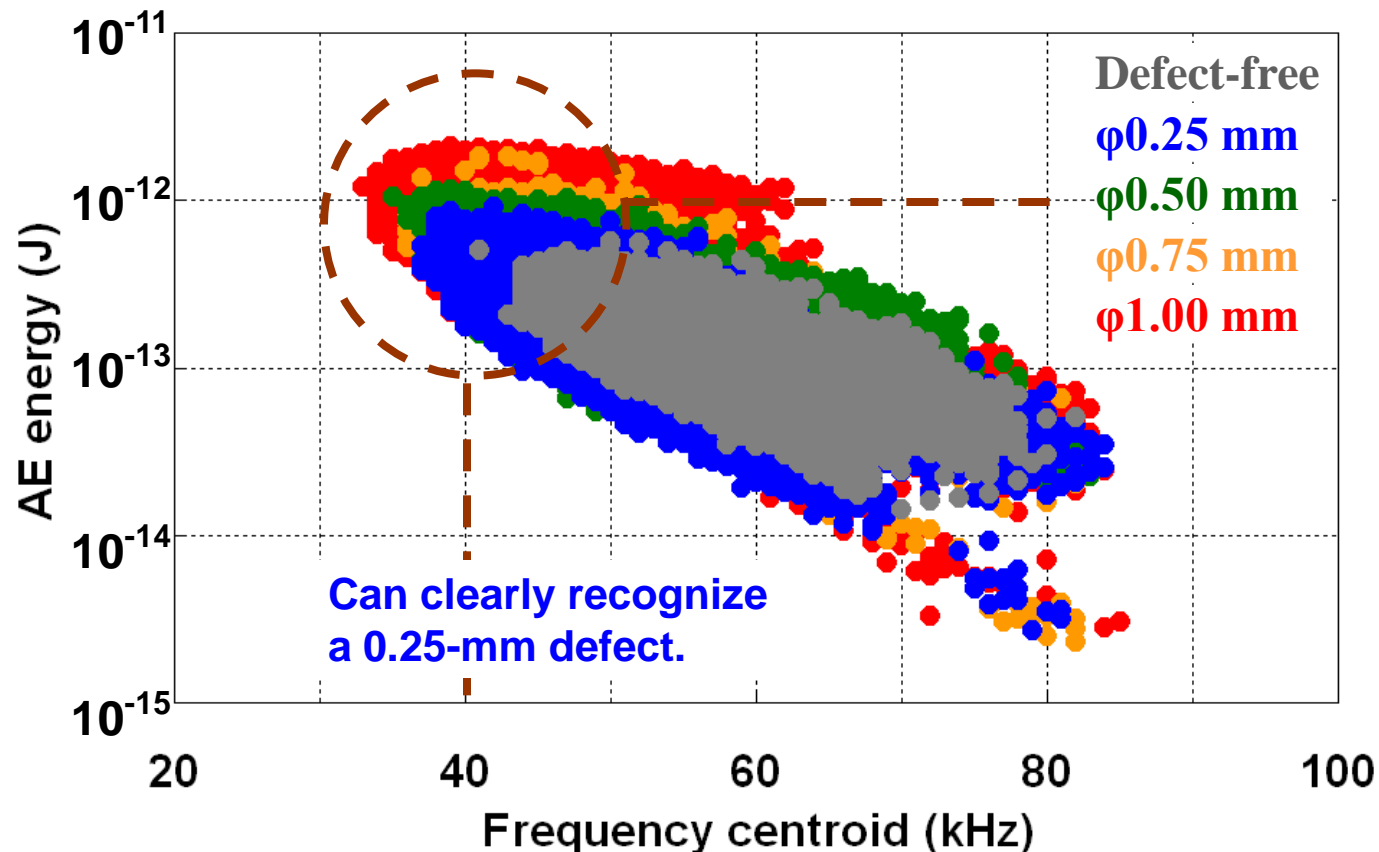


The correlation between the distributions and defect sizes is weak because there are few AE hits for defects smaller than 0.50 mm, and piezoelectric AE sensors have a non-flat frequency response.



# Distribution of absolute AE energy and Frequency centroid

Laser interferometer  
Rotating shaft



✓ With an increase in defect size, the frequency centroid tends to broaden, particularly at lower frequency, and the AE energy reaches higher values.

# Summary

---

- ✓ **We demonstrated that the non-contact AE measurement method using a laser interferometer can detect AE waves on a rotating shaft in a laboratory test.**
- ✓ **After analyzing various AE parameters, we observed that the frequency centroid and absolute AE energy carry the higher correlation with defects size on the rolling bearing.**
- ✓ **The distribution of frequency centroid and absolute AE energy obtained by shaft measurement can clearly detect smaller defects than bearing housing measurements using piezoelectric sensors.**
- ✓ **This method is therefore promising for condition monitoring on rotating machines in actual plants.**